

FORM PTO-1390
(REV 5-93)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTORNEY DOCKET NO.
107400-00044TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

DATE: November 6, 2001

U.S. APPLN. NO.
(IF KNOWN, SEE 37 C.F.R. 3.5)
NE 99/926454INTERNATIONAL APPLICATION NO.
PCT/JP01/01672INTERNATIONAL FILING DATE
March 5, 2001PRIORITY DATE CLAIMED
March 6, 2000 and January 12, 2001

TITLE OF INVENTION: SEMICONDUCTOR DEVICE

APPLICANT(S) FOR DO/EO/US: Syouji HIGASHIDA and Masaru TAKAISHI

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
(THE BASIC FILING FEE IS ATTACHED)
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures [35 U.S.C. 371(f)] at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper demand for International Preliminary Amendment was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English [35 U.S.C. 371(c)(2)].
7. ☐ Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)].
9. ☒ An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)].
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)].

Items 11 - 16 below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Copy of Front Cover of WO 01/67520 and PCT/ISA/210 Drawings (1(a)-10(b) 8 sheets)

U.S. APPL. NO. (IF KNOWN) SEE 37 C.F.R. 1.50 NEW <div style="font-size: 2em; font-weight: bold; margin-top: 10px;">097926454</div>	INTERNATIONAL APPLICATION NO. PCT/JP01/01672	ATTORNEY DOCKET NO. 107400-00044 DATE: November 6, 2001
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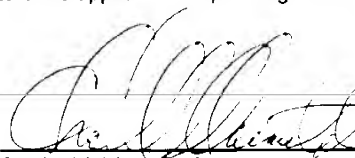
17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee [37 C.F.R. 1.492(a)(1)-(5)]: Search Report has been prepared by the EPO or JPO.....\$890.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482).....\$710.00 No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO [37 C.F.R. 1.445(a)(2)].....\$740.00 Neither international preliminary examination fee (37 C.F.R. 1.482) or international search fee [37 C.F.R. 1.445(a)(2)] paid to USPTO.....\$1,040.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$ 100.00	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">CALCULATIONS</td> <td style="width: 50%;">PTO USE ONLY</td> </tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </table>	CALCULATIONS	PTO USE ONLY												
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ENTER APPROPRIATE BASIC FEE AMOUNT =	\$ 890.00																									
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 C.F.R. 1.492(e)].	\$																									
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">Claims</th> <th style="width: 20%;">Number Filed</th> <th style="width: 20%;">Number Extra</th> <th style="width: 20%;">Rate</th> <th style="width: 20%;"></th> <th style="width: 20%;"></th> </tr> <tr> <td>Total Claims</td> <td>9 - 20 =</td> <td>0</td> <td>X \$ 18.00</td> <td>\$</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td>1 - 3 =</td> <td>0</td> <td>X \$ 84.00</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="3">Multiple dependent claim(s) (if applicable)</td> <td>+ \$280.00</td> <td>\$ 280.00</td> <td></td> </tr> </table>	Claims	Number Filed	Number Extra	Rate			Total Claims	9 - 20 =	0	X \$ 18.00	\$		Independent Claims	1 - 3 =	0	X \$ 84.00	\$		Multiple dependent claim(s) (if applicable)			+ \$280.00	\$ 280.00			
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Total Claims	9 - 20 =	0	X \$ 18.00	\$																						
Independent Claims	1 - 3 =	0	X \$ 84.00	\$																						
Multiple dependent claim(s) (if applicable)			+ \$280.00	\$ 280.00																						
TOTAL OF ABOVE CALCULATIONS =	\$ 1,170.00																									
Reduction by one-half for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28).	\$																									
SUBTOTAL =	\$ 1,170.00																									
Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 C.F.R. 1.492(f)].	\$																									
TOTAL NATIONAL FEE =	\$ 1,170.00																									
Fee for recording the enclosed assignment [37 C.F.R. 1.21(h)]. The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property	\$ 40.00																									
TOTAL FEES ENCLOSED =	\$ 1,210.00																									
	Amount to be refunded	\$																								
	Charged	\$																								

a. <input checked="" type="checkbox"/> Please charge Deposit Account No. 01-2300 in the amount of \$1,210.00 to cover the above fee. A duplicate copy of this sheet is enclosed. Please reference Attorney Docket No. 107400-00044 c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-2300.	NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive [37 C.F.R. 1.137(a) or (b)] must be filed and granted to restore the application to pending status.
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SEND ALL CORRESPONDENCE TO:

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Suite 400
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Tel: (202) 857-6000 Fax: (202) 638-4810


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